



Registration Form

Applicable to registrable corporations under the Financial Sector (Collection of Data) Act 2001.

1. Name of corporation

Registered name.....

Trading name.....

2. Corporation's ABN

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3. Date of incorporation __/__/____ (DD/MM/YYYY)

4. Place of incorporation

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5. Date on which the corporation became registrable corporation (please refer to section 9(2) of the *Financial Sector (Collection of Data) Act 2001*)

__/__/____ (DD/MM/YYYY)

6. Value of Total Assets

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Date last reported __/__/____ (DD/MM/YYYY)

7. Value of the principal amounts outstanding on loans or other financing

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Date last reported __/__/____ (DD/MM/YYYY)

8. Annual Balance Date __/__(DD/MM)

9. Address of the registered office

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Suburb.....State.....Postcode.....

Contact number

10. Postal address

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Suburb.....State.....Postcode.....

11. Chief Executive Officer or the most senior person

Name (Mr/Ms/Mrs):

Position:

Phone:

Email:

12. Chief Financial Officer / Financial Controller

Name (Mr/Ms/Mrs):

Position:

Phone:

Email:

13. Reporting contact 1

Name (Mr/Ms/Mrs):

Position:

Phone:

Email:

14. Reporting contact 2

Name (Mr/Ms/Mrs):

Position:

Phone:

Email:

15. This corporation is

- a foreign corporation
- a trading corporation formed within the limit of Australia
- a financial corporation formed within the limits of Australia

16. Does the corporation originate or intend to originate loans to third party entities?

If yes, does the corporation provide or intend to provide

- Finance to private and/or public sector business
- Secured finance to individuals for owner occupied housing
- Lease finance to private and/or public enterprises and/or individuals
- Finance to individuals for personal (non-business) use and/or personal investment purposes

17. Has the corporation and/or its related entities securitised any lending assets in the past year?

Yes

No

Note: If yes, please provide APRA with the combined total assets of the corporation and its related entities:

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18. If the answer to question 17 is 'No', does the corporation extend credit and have deposit-like liabilities as defined by broad money, namely; wholesale deposits; short-term debt securities; and bill acceptances?

Yes

No

19. Which of the followings best describes the corporation?

Operates primarily in wholesale markets, borrowing from, and lending to, large corporations and government agencies

Predominantly borrow within a corporation group and provide finance by lending within their corporation group

Engages in non-depository financing – those units that do not incur deposit liabilities and are mainly engaged in providing credit, including units primarily engaged in the origination of loans for the purpose of securitising.

Securitiser – special purpose vehicles (SPVs) which hold pooled assets including residential mortgages, commercial property loans and credit card debt

Asset Investing – those units engaged in investing money on their own account in financial and non-financial assets such as shares, bonds, financial derivatives, residential and commercial property

Other Registered Financial Corporations – involved in the provision of finance that are not mentioned above

20. Particulars of the principal kinds of finance ordinarily provided by the corporation (please provide detailed information and attach any additional supporting material)

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21. Is the sole or principal activity provision of finance to related parties?

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22. Particulars of the principal methods by which the corporation ordinarily borrows money

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23. List all corporations related to registrable corporation (e.g. Parent, Subsidiary)

Name 1:
Address:
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Relationship with registrable corporation:
Is the entity a Registered Financial Corporation?

Name 2:
Address:
.....
Relationship with registrable corporation:
Is the entity a Registered Financial Corporation?

Name 3:
Address:
.....
Relationship with registrable corporation:
Is the entity a Registered Financial Corporation?

24. If applicable, list the related registered entity already reporting to APRA, with whom you would consider reporting a single return. Please nominate the RFC to report to APRA.

- Please keep in mind the guidance in APRA Reporting Practice Guide 701 (RPG701) in regards to consolidation of RFC reporting for securitisers; specifically that SPVs should not be consolidated within an RFC reporting group, even if they are owned and/or controlled by the same parent entity. All positions and transactions with SPVs owned and/or controlled by the same parent entity should be treated as positions or transactions with related parties.

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25. Particulars of direct involvement in a securitisation arrangement resulting in issuing independently rated asset backed securities

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26. Declaration

(This is a compulsory item and needs to be completed by a senior officer of the registrable corporation)

Please note if:

- **a registered entity changes its name or the address of its registered office; or**
- **a change takes place in the principal methods by which a registered entity ordinarily borrows moneys or in the principal kinds of finance ordinarily provided by a registered entity; or**
- **a corporation that is related to a registered entity ceases to be so related; or**
- **a corporation becomes related to a registered entity; the registered entity must, before the end of the period of 60 days after the occurrence of the event concerned, notify APRA in writing accordingly.**

This form was completed by

(Print name)

(Signature)

(Phone Number)

(Date)