





## Details of RSE licensee (continued)

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Name


ABN

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Type of entity

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Name


ABN

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Type of entity

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Name


ABN

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Type of entity

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Name


ABN

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Type of entity

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Name


ABN

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Type of entity

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*Application form continues on the next page*

## Details of RSE licensee (continued)

Section 29N of *Superannuation Industry (Supervision) Act 1993* (SIS Act) - Cancelling registration 29N(2) [Other grounds] APRA may cancel the registration of a registrable superannuation entity under subsection 29N(2) of the SIS Act, if APRA is satisfied, on reasonable grounds, that:

- the entity has no beneficiaries and no assets; and
  - there are no outstanding claims against the entity for benefits or other payments; and
  - other circumstances (if any) prescribed by regulations made for the purposes of this paragraph exist.
6. Have all assets and beneficiaries been transferred to another RSE licensee?  
Yes      No
7. Are there outstanding claims against the entity for benefits or other payments?  
Yes      No
8. Has an Application for approval of transfer under subsection 145(2) of the SIS Act, been lodged with APRA?  
Yes      No      *Please annex a copy of the Application for approval of transfer to this form and go to 10.*
9. Is it proposed that an Application for approval of transfer will be made to APRA?  
Yes      No

## AFS licensees only

10. Is the RSE licensee an AFS licensee?

Yes      No

11. If yes, provide the following:

AFS licence number

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Copy of AFS licence

Attached

*Application form continues on the next page*



## Details of RSE licensee (continued)

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12. Has the RSE licensee notified the Australian Securities and Investments Commission of request for cancellation of RSE licence?

Yes      No

Pursuant to section 29GA of the SIS Act, APRA must consult ASIC of any proposed cancellation of RSE licence held by AFS licensee if, in APRA's opinion, the cancellation might reasonably be expected to affect the RSE licensee's ability to provide one or more of the financial services that the RSE licensee provides.

Detail reasons for seeking cancellation of RSE licence. If insufficient space is provided, please attach further submission (*including all relevant documents*).

It is an offence under subsection 29J(1) of the SIS Act to be a trustee of a registrable superannuation entity while unlicensed.

*Application form continues on the next page*

## Signatures

Both applicants must sign.

If trustee is a body corporate, at least two directors to sign.

If trustee is a group of individual trustees, all individual trustee to sign.

Name


Capacity

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Signature

SIGNATURE IN HERE

Date

D	D		M	M		Y	Y	Y	Y
		/			/				

Name


Capacity

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Signature

SIGNATURE IN HERE

Date

D	D		M	M		Y	Y	Y	Y
		/			/				

Name


Capacity

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Signature

SIGNATURE IN HERE

Date

D	D		M	M		Y	Y	Y	Y
		/			/				

*Application form continues on the next page*





Name


Capacity

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Signature

SIGNATURE IN HERE

Date

		/		/							

Name


Capacity

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Signature

SIGNATURE IN HERE

Date

		/		/							

Name


Capacity

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Signature

SIGNATURE IN HERE

Date

		/		/							

Name


Capacity

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Signature

SIGNATURE IN HERE

Date

		/		/							

*Application form continues on the next page*



Name


Capacity

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Signature

SIGNATURE IN HERE

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Date

D	D	/	M	M	/	Y	Y	Y	Y

## Notes

### Penalties

Applicants should note that giving false or misleading information is a serious offence (see s. 36.1, s. 137.1 and s. 137.2 of the *Criminal Code Act 1995*). Where information is disclosed to APRA as part of this application, APRA may in turn disclose that information in any of the circumstances permitted by s. 56 of the *Australian Prudential Regulation Authority Act 1998*.

### Is there a prescribed fee?

There is no application fee for Applicants seeking Cancellation of RSE licence.

### Where to lodge Application for cancellation

Australian Prudential Regulation Authority  
Level 12  
1 Martin Place  
SYDNEY NSW 2000

GPO Box 9836  
SYDNEY NSW 2000

*End of form.*