



## General Additional Conditions imposed on an RSE Licence under s. 29EA of the Superannuation Industry Supervision Act 1994 for all RSE licensees – post 1 July 2013.

*(Note: during the transition period, these conditions are imposed once APRA receives written notification that the RSE licensee has met its Operational Risk Financial Requirement (ORFR) )*

### Notification requirement – change of control

1. The RSE licensee must give notice in writing to APRA at least 14 days before there is:
  - (a) any change in the persons who control the RSE licensee; or
  - (b) any material increase in the control of any person over the RSE licensee.

### Notification requirement - disclosure of information

2. The RSE licensee must give notice in writing to APRA of any change in:
  - (a) the name of the RSE licensee; or
  - (b) the name of any MySuper product offered by the RSE licensee, within 28 days after the occurrence of the change.

### Definitions

3. In this Schedule:
  - (a) **Act** means the *Superannuation Industry (Supervision) Act 1993*;
  - (b) **APRA** means the Australian Prudential Regulation Authority;
  - (c) **days** means calendar days, and includes weekends and public holidays;
  - (d) **MySuper product** has the same meaning as in subsection 10(1) of the Act;
  - (e) **person** includes a corporation, partnership or other legal or commercial entity;
  - (f) **RSE licensee** has the same meaning as in subsection 10(1) of the Act.