



ASIC



**Agreement for the purposes of  
paragraph 912D(1C)(b) of the Corporations Act 2001**

**between**

**AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION**

**and**

**AUSTRALIAN PRUDENTIAL REGULATION AUTHORITY**

For the purposes of paragraph 912D(1C)(b) of the *Corporations Act 2001 (Act)* and effective from 1 January 2008 the Australian Securities and Investments Commission (*ASIC*) and the Australian Prudential Regulation Authority (*APRA*) agree that APRA is appointed as ASIC's agent to receive written reports (each a *Report*) required to be lodged with ASIC under subsection 912D(1B) of the Act by holders of Australian financial services licences that are bodies regulated by APRA where:

- (i) APRA receives the Report electronically via APRA's online breach reporting facility available at [www.apra.gov.au](http://www.apra.gov.au); and
- (ii) the Report states that it is made to ASIC and APRA.

Dated 20<sup>th</sup> December 2007

For and on behalf of:  
Australian Securities and  
Investments Commission

Jennifer O'Donnell  
Executive Director  
Compliance  
NSW Regional Commissioner

For and on behalf of:  
Australian Prudential  
Regulation Authority

Charles Watts Littrell  
Executive General Manager  
Policy Research and  
Statistics Division