Agreement for the purposes of paragraph 912D(1C)(b) of the Corporations Act 2001

between

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

and

AUSTRALIAN PRUDENTIAL REGULATION AUTHORITY

For the purposes of paragraph 912D(1C)(b) of the Corporations Act 2001 (Act) and effective from 1 January 2008 the Australian Securities and Investments Commission (ASIC) and the Australian Prudential Regulation Authority (APRA) agree that APRA is appointed as ASIC’s agent to receive written reports (each a Report) required to be lodged with ASIC under subsection 912D(1B) of the Act by holders of Australian financial services licences that are bodies regulated by APRA where:

(i) APRA receives the Report electronically via APRA’s online breach reporting facility available at www.apra.gov.au; and

(ii) the Report states that it is made to ASIC and APRA.

Dated 20th December 2007

For and on behalf of:  
Australian Securities and Investments Commission

Jennifer O’Donnell  
Executive Director  
Compliance  
NSW Regional Commissioner

For and on behalf of:  
Australian Prudential Regulation Authority

Charles Watts Littrell  
Executive General Manager  
Policy Research and Statistics Division