

DRAFT

3RF_110_0: Level 3 Prescribed Capital Amount

Australian Business Number		Institution Name
Entity identifier		Name of Level 3 Head
Reporting Period	Scale Factor	Reporting Consolidation
As at end of each quarter; DD/MM/YYYY	Millions of dollars with one decimal place	Level 3 group

1. ADI block

1.1. Inputs to ADI block required capital formula (APRA-regulated)

ADI name (1)	Australian Business Number (2)	Type of institution (3)	Total Risk Weighted Assets (4)	Less: Adjustment for ITEs (5)	CET1 PCR (%) (6)	Tier 1 PCR (%) (7)	Total Capital PCR (%) (8)	Additional Tier 1 Capital (9)	Of which: Additional Tier 1 Capital held by group members (10)	Tier 2 Capital (11)	Of which: Tier 2 Capital held by group members (12)	ADI RC (13)
		ADI Level 2 group										
		Level 1 ADI										

1.2. Inputs to ADI block required capital formula (equivalent overseas)

Equivalent overseas deposit-taking institution name (1)	Equivalent overseas deposit-taking institution RC (2)

DRAFT

1.3. ADI block required capital

1.4. ITEs that affect ADI block required capital

ADI name (1)	Counterparty (2)	Change in block RC (3)	Description of ITE (4)

1.5. Aggregate amount of ITEs

2. GI block

2.1. Inputs to GI block required capital formula (APRA-regulated)

General insurer name (1)	Australian Business Number (2)	Type of institution (3)	Prescribed capital amount (4)	Less: Adjustment for ITEs (5)	Adjusted PCR (6)	Minimum CET1 Capital as a % of prescribed capital amount (7)	Minimum Tier 1 Capital as a % of prescribed capital amount (8)	Additional Tier 1 Capital (9)	Of which: Additional Tier 1 Capital held by group members (10)	Tier 2 Capital (11)	Of which: Tier 2 Capital held by group members (12)	General insurer RC (13)
		General insurance Level 2 group										
		Level 1 general insurer										

2.2. Inputs to GI block required capital formula (equivalent overseas)

Equivalent overseas general insurer name	Equivalent overseas general insurer RC
--	--

DRAFT

(1)	(2)

2.3. GI block required capital

2.4. ITEs that affect GI block required capital

General insurer name (1)	Counterparty (2)	Change in block RC (3)	Description of ITE (4)

2.5. Aggregate amount of ITEs

3. LI block

3.1. Inputs to LI block required capital formula (APRA-regulated)

Life company name (1)	Australia n Business Number (2)	Prescribe d capital amount (3)	Less: Adjustme nt for ITEs (4)	Adjusted PCR (5)	Minimum CET1 Capital as a % of prescribe d capital amount (6)	Minimum Tier 1 Capital as a % of prescribe d capital amount (7)	Additional Tier 1 Capital (8)	Of which: Additional Tier 1 Capital held by group members (9)	Tier 2 Capital (10)	Of which: Tier 2 Capital held by group members (11)	Life company RC (12)

3.2. Inputs to LI block required capital formula (equivalent overseas)

Equivalent overseas institution engaged in life insurance business name (1)	Equivalent overseas institution engaged in life insurance business RC (2)
--	--

DRAFT

--	--

3.3. LI block required capital

3.4. ITEs that affect LI block required capital

Life company name (1)	Counterparty (2)	Change in block RC (3)	Description of ITE (4)

3.5. Aggregate amount of ITEs

4. Super block

4.1. Inputs to Super block required capital formula

RSE licensee name (1)	Australian Business Number (2)	Net assets available for members' benefits (adjusted for pass through within RSE licensee) (3)	Net assets available for members' benefits (external funds only) (4)	ORFR target amount (5)	Adjusted ORFR target amount (6)

4.2. Super block required capital

5. FM block

- 5.1. Gross fund assets
- 5.2. Net fund assets
- 5.3. Internal capital allocation

Operationally separated or separable (1)	Not operationally separated or separable (2)

DRAFT

- 5.4. Total non-APRA regulatory capital requirements
- 5.5. FM block required capital

6. OA block

- 6.1. Internal capital allocation

Operationally separated or separable (1)	Not operationally separated or separable (2)

- 6.2. Total non-APRA regulatory capital requirements
- 6.3. OA block required capital

7. Level 3 group capital adequacy

- 7.1. Level 3 prescribed capital amount
- 7.2. Level 3 Eligible Capital
- 7.3. Capital in excess of Level 3 prescribed capital amount
