

From: Section 38 & Section 22(1)(a)(i)
Sent: Tuesday, 18 July 2023 9:40 AM
To: Section 38 & Section 22(1)(a)(i)
Cc: Section 38 & Section 22(1)(a)(i)
Subject: Self-assessments against 2021 thematic reviews – survey [SEC=OFFICIAL:Sensitive]
Attachments: Thematic reviews - self-assessment survey - Copy.xlsx

Security Classification:

OFFICIAL: Sensitive

OFFICIAL: Sensitive

Section 38 & Section 22(1)(a)(i)

As per my previous email, Section 38 & Section 22(1)(a)(i) is being requested to respond to the short survey (attached) in relation to APRA's 2021 thematic reviews on:

- Strategic and business planning;
- Fund expenditure; and
- Unlisted asset valuation practices.

Completed surveys should be saved as *.xlsx files and named according to the following format: *SUPER Thematic review survey 2023_Entity Name_Submission Number*. For example, SUPER Thematic review survey 2023_ABC_Company_Pty_Ltd_1.

To submit your response to the survey, please use the **Ad Hoc Request and File Upload** functionality in APRA Connect. Comprehensive instructions for submitting an ad hoc return are contained in the [APRA Connect Guide](#) under section 6.11.

We request that completed surveys are lodged by **Friday 4th August**.

If you have any questions regarding the submission process, please email dataanalytics@apra.gov.au, or if you have any questions regarding the survey questions, please contact me.

Kind regards

Section 38 & Section 22(1)(a)(i)

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APRA acknowledges the traditional custodians of the lands and waters of Australia and pays respect to Aboriginal and Torres Strait Islander peoples past and present.



From: Section 38 & Section 22(1)(a)(i)

Sent: Tuesday, 4 July 2023 8:43 AM

To: Section 38 & Section 22(1)(a)(i)

Cc: Section 38 & Section 22(1)(a)(i)

Subject: Update on APRA's supervision priorities for RSE licensees [SEC=OFFICIAL:Sensitive]

OFFICIAL: Sensitive

Section 38 & Section 22(1)(a)(i)

As published in APRA's [Information Paper on its supervision priorities for 2023](#) (dated 2 February 2023), APRA expects all RSE licensees to have undertaken self-assessments on the themes identified in [APRA's 2021 thematic reviews](#) and to have well-progressed plans to address deficiencies.

In line with this supervision priority, APRA will soon be sending a short survey to all RSE licensees to gauge whether self-assessments have been performed, if deficiencies have been identified, and when RSE licensees are expected to address them.

RSE licensees will be requested to upload its responses to the survey via APRA Connect using the *Ad Hoc Request and File Upload* functionality. More specific instructions will be provided in the cover email to the survey in terms of the format and naming convention of the file. In the meantime, please familiarise yourself with the comprehensive instructions for submitting an ad hoc return, which are contained in the [APRA Connect Guide](#) under section 6.11.

If you have any questions, please contact me.

Kind regards

Section 38 & Section 22(1)(a)(i)



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