From: Section 38 & Section 22(1)(a)(i)

Sent: Wednesday, 5 July 2023 3:04 PM

Section 38 & Section 22(1)(a)()

Section 38 & Section 22(1)(a)(i)

Subject: Update on APRA's supervision priorities for RSE licensees [SEC=OFFICIAL:Sensitive]

Security Classification:

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Thanks again for your time last week.

As published in APRA's <u>Information Paper on its supervision priorities for 2023</u> (dated 2 February 2023), APRA expects all RSE licensees to have undertaken self-assessments on the themes identified in <u>APRA's 2021 thematic reviews</u> and to have well-progressed plans to address deficiencies.

In line with this supervision priority, APRA will soon be sending a short survey to all RSE licensees to gauge whether self-assessments have been performed, if deficiencies have been identified, and when RSE licensees are expected to address them.

RSE licensees will be requested to upload its responses to the survey via APRA Connect using the *Ad Hoc Request and File Upload* functionality. More specific instructions will be provided in the cover email to the survey in terms of the format and naming convention of the file.

In the meantime, please familiarise yourself with the comprehensive instructions for submitting an ad hoc return, which are contained in the <u>APRA Connect Guide</u> under section 6.11.

If you have any questions, please contact (Section 38 & Section 22(1)(a)

