

**From:** [Redacted]  
**Sent:** Tuesday, 18 July 2023 10:01 AM  
**To:** [Redacted]  
**Cc:** [Redacted]  
**Subject:** RE: [Redacted] Update on APRA's supervision priorities for RSE licensees  
[SEC=OFFICIAL:Sensitive]

**OFFICIAL: Sensitive**

H [Redacted]

As per my previous email [Redacted] being requested to respond to the short survey in relation to [APRA's 2021 thematic reviews](#) on:

- Strategic and business planning;
- Fund expenditure; and
- Unlisted asset valuation practices.

I have uploaded the survey "Thematic reviews - self-assessment survey.xlsx" via SecureDoc.

Completed surveys should be saved as \*.xlsx files and named according to the following format: *SUPER Thematic review survey 2023\_Entity Name\_Submission Number*. For example, SUPER Thematic review survey 2023\_ABC\_Company\_Pty\_Ltd\_1.

To submit your response to the survey, please use the **Ad Hoc Request and File Upload** functionality in APRA Connect. Comprehensive instructions for submitting an ad hoc return are contained in the [APRA Connect Guide](#) under section 6.11.

We request that completed surveys are lodged by **Friday 4<sup>th</sup> August**.

If you have any questions regarding the submission process, please email [dataanalytics@apra.gov.au](mailto:dataanalytics@apra.gov.au), or if you have any questions regarding the survey questions, please contact me.

Kind regards,

[Redacted]

Section 38 &  
Section 22(1)(a)(i)

Section 38 & Section 22(1)(a)(i)

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APRA acknowledges the traditional custodians of the lands and waters of Australia and pays respect to Aboriginal and Torres Strait Islander peoples past and present.



Section 38 & Section 22(1)(a)(i)

**From:** Section 38 & Section 22(1)(a)(i)

**Sent:** Tuesday, July 4, 2023 4:55 PM

**To:** Section 38 & Section 22(1)(a)(i)

**Cc:** Section 38 & Section 22(1)(a)(i)

**Subject:** Update on APRA's supervision priorities for RSE licensees [SEC=OFFICIAL:Sensitive]

Section 38 & Section 22(1)(a)(i)

**OFFICIAL: Sensitive**

Hi Section 38 & Section 22(1)(a)(i)

As published in APRA's [Information Paper on its supervision priorities for 2023](#) (dated 2 February 2023), APRA expects all RSE licensees to have undertaken self-assessments on the themes identified in [APRA's 2021 thematic reviews](#) and to have well-progressed plans to address deficiencies.

In line with this supervision priority, APRA will soon be sending a short survey to all RSE licensees to gauge whether self-assessments have been performed, if deficiencies have been identified, and when RSE licensees are expected to address them.

RSE licensees will be requested to upload its responses to the survey via APRA Connect using the *Ad Hoc Request and File Upload* functionality. More specific instructions will be provided in the cover email to the survey in terms of the format and naming convention of the file. In the meantime, please familiarise yourself with the comprehensive instructions for submitting an ad hoc return, which are contained in the [APRA Connect Guide](#) under section 6.11.

If you have any questions, please feel free to contact me.

Thank you.

Regards,

Section 38 & Section 22(1)(a)(i)

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Section 38 & Section 22(1)(a)(i)