

General additional conditions for non-public offer RSE licensees under section 29EA of the *Superannuation Industry (Supervision) Act 1993*

A. PROVISION OF INFORMATION CONDITIONS

Provision of information about the RSE licensee to APRA

A.1 To the extent it is possible, any change of fund secretary or executive officer of the RSE licensee must be reported to APRA in writing within 14 days after the event occurred.

Annual information

A.2 The RSE licensee must provide to APRA within 4 months of the end of the financial year of the RSE licensee:

- (a) a copy of the audited financial statements of the RSE(s), and
- (b) where audited or unaudited financial statements are prepared in relation to the operations of the RSE licensee itself, a copy of the financial statements of the RSE licensee.

APRA Questionnaires

A.3 The RSE licensee must complete and return APRA questionnaires about the operations of the RSE licensee as requested from time to time.

No other business or commercial activity

A.4 The RSE licensee must not engage in any business or commercial activity (including acting as the trustee of a trust fund or of a deceased estate) unless:

- (a) that activity is necessary or reasonably incidental to the RSE licensee's activities as a trustee of one or more regulated RSE(s); or
- (b) that activity has been approved by APRA in writing. APRA may only provide the approval if satisfied that the RSE licensee's conduct of that business or activity will not prejudice the efficient and proper discharge of the licensee's duties as trustee.